



I hereby certify that this correspondence is being deposited with the United States Postal Service as ~~TRADE~~ mail in an envelope addressed to:

Assistant Commissioner for Patents,
Attn: Box Missing Parts,
Washington, D.C. 20231, on October 26, 2001

LAW OFFICES OF JONATHAN ALAN QUINE
By Alexandria Allison
Alexandra Allison

#4
Attorney Docket No. 02-107410US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sun Ai Raillard et al.

Application No.: 09/920,452

Filed: July 31, 2001

For: **NUCLEOTIDE INCORPORATING
ENZYMES**

Examiner: Unassigned

Art Unit: Unassigned

**TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS**

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated September 10, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
(+ copy of Assignment - not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)
- 3) Copy of Notice of Missing Parts
- 4) Fee transmittal sheet
- 5) Transmittal Sheet
- 6) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity:	(a) Filing Fee (§ 1.16(a)) (Large Entity)	\$ 710.00
	(b) Excess Claims Fees (§ 1.16(b), (c)):	
	$110 - 20 = 90 \times 18 =$	\$1620.00
	$4 - 3 = 1 \times 80 =$	\$ 80.00
	(c) Missing Parts Surcharge	\$ 130.00
	(d) Multiple Dependent Claim Surcharge	\$ 270.00
	TOTAL FEES TO BE CHARGED	\$2810.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,



Jonathan Alan Quine, J.D., Ph.D.
Reg. No. 41,261

LAW OFFICES OF JONATHAN ALAN QUINE
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877

Please type a plus sign (+) inside this box → **+**

(Modified) PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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SECTOR
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)
JAN 04 2002

PATENT AND TRADEMARK OFFICE
Number of Pages in This Submission

11

Application Number	09/920,452
Filing Date	July 31, 2001
First Named Inventor	Sun Ai Raillard
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	02-107410US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Declaration, Statement 3.73(b), receipt acknowledgment postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jonathan Alan Quine, Reg. No. 41,261 The Law Offices of Jonathan Alan Quine
Signature	
Date	October 26, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **October 26, 2001**

Typed or printed name	Alexandra Allison		
Signature		Date	October 26, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL		Complete If Known					
for FY 2001		Application Number	09/920,452				
Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.		Filing Date	July 31, 2001				
		First Named Inventor	Sun Ai Raillard				
		Examiner Name	Unassigned				
		Group / Art Unit	Unassigned				
		Attorney Docket No.	02-107410US				
TOTAL AMOUNT OF PAYMENT (\$)		2810.00					

METHOD OF PAYMENT (check one)		FEES CALCULATION (continued)						
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES						
Deposit Account Number	50-0893					Fee Description	Fee Paid	
Deposit Account Name	Law Offices of Jonathan Alan Quine						130	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17								
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other								
FEES CALCULATION								
1. BASIC FILING FEE								
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description						
101 740	201 370	Utility filing fee						
106 310	206 155	Design filing fee						
107 480	207 240	Plant filing fee						
108 690	208 345	Reissue filing fee						
114 150	214 75	Provisional filing fee						
SUBTOTAL (1) (\$)		710						
2. EXTRA CLAIM FEES								
Total Claims	Extra Claims	Fee from below	Fee Paid					
110	-20**	= 90	x 18	= 1620				
Independent Claims	4	- 3**	= 1	x 80 = 80				
Multiple Dependent				270 = 270				
** or number previously paid, if greater; For Reissues, see below								
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description						
103 18	203 9	Claims in excess of 20						
102 84	202 42	Independent claims in excess of 3						
104 280	204 140	Multiple dependent claim, if not paid						
109 78	209 39	** Reissue independent claims over original patent						
110 18	210 9	** Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$)		1970						
Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$)		130

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Jonathan Alan Quine			Reg. Number	41,261
Signature	<i>Jonathan Alan Quine</i>			Date	10/26/01
Deposit Account User ID					

CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: October 26, 2001					
Typed or Printed Name	Alexandra Allison				
Signature	<i>Alexandra Allison</i>				
Date	October 26, 2001				

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,452	07/31/2001	Sun Ai Raillard	02-107410US

CONFIRMATION NO. 4651

22798
LAW OFFICES OF JONATHAN ALAN QUINE
P O BOX 458
ALAMEDA, CA 94501

FORMALITIES LETTER



OC000000006536661

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$1970.
 - \$1620 for 90 total claims over 20.
 - \$80 for 1 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 2810.**

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE